



Submission Guidelines

CCS/HSRLCE/BI-LILLY CARDIOMETABOLIC RESEARCH AWARD

All applications must be submitted electronically using our online submission system.

Submissions will be accepted until noon (EDT), **Monday, September 14th**.

You will receive email confirmation of your submission within 72 hours.

All applications will be pre-screened for eligibility. Applications that are late, incomplete and/or do not meet the basic eligibility criteria will be not be considered for review.

Submitting your application

Once you log on to the submission site, you will need to:

- Fill in applicant information
- Complete a proof of eligibility checklist
- Enter an abstract and research profile
- Upload your budget form (template provided)
- Enter your budget justification including details on personnel, supplies, equipment and overhead
- Upload your full research proposal including appendices (optional) and CV of principal investigator

The full research proposal

Content

The file must include the research proposal, appendices related to the research proposal (if required) and the CV of the principal investigator.

All components (research proposal, appendices and CV) must be submitted as a single PDF or Word file of no more than 5MG.

Format

- Arial 12pt font, single-spaced
- Margins a minimum of 2 cm
- A header indicating applicant's name and project title
- Page numbers clearly visible at the bottom of each page
- All pages including attachments must be letter size (21.25 cm x 27.5 cm / 8.5" x 11") white paper/background

Research Proposal

Applicants are asked to submit a proposal, including the following:

- **Outline of the project.** Is the project part of a larger study or grant? If so, please explain.
- **Description of the research environment.** Where in Canada will the research project will be conducted? Note that research must be conducted in Canada.
- **Scope.** If the scope of the project is greater than the funds awarded, the applicant must explain what area the grant will be used in. If other member(s) of the team is/are involved in the project, applicant is to describe his/her role in the study.
- **Budget.** Is the project partially funded using other channels? If so, please explain (submit as an attachment, a template is provided).
- A brief 1-page curriculum vitae outlining any prior research experience and publications (submit as an attachment).
- High-resolution headshot photo
- Name of mentor or supervisor
- Name and contact information of the payee, both the Institution and individual who will receive the funds. Please include to whom the cheque should be payable.

Appendices related to the research proposal

Follow general formatting guidelines for all appendices

CV of the principal investigator

- Principle Investigator CV must be no longer than one (1) page and should include only peer-reviewed publications and abstracts from the last five (5) years. Do not include presentations, conferences, lectures or non-peer-reviewed publications.

All submissions will be kept confidential according to the requirements of the Canadian Cardiovascular Society and the Personal Information Protection and Electronics Document Act (PIPEDA) (CCS privacy policy is at www.ccs.ca.)

If you have questions about submission process or require assistance with the submission system, contact researchawards@ccs.ca.



CCS RESEARCH AWARDS Terms and Conditions

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5. Change in status of awardees
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COMMUNICATION WITH THE CANADIAN CARDIOVASCULAR SOCIETY

All applicants to the Canadian Cardiovascular Society will receive written notification of the outcome of their application.

Applicants have opportunities to interact with the CCS in the following ways:

- All applicants may seek clarification regarding the scientific review process and the funding decisions.
- All applicants may communicate in writing a specific concern regarding an issue of process or administration relating to a specific application. As appropriate, issues will be brought to the attention of the Co-Chairs of the CCS/HSRLCE/BI-Lilly Cardiometabolic Research Award 2020 Evaluation Committee. The CCS will investigate any concerns and the CEO or a Director will respond to the applicant in writing on behalf of the Co-Chairs. Note that decisions of the CCS are final however revisions or improvements to the peer review process may be implemented if necessary.

Applicants may respond in writing to the scientific merit critique of an application by submitting a revision of an unsuccessful application to the next regular competition (if applicable) with a copy of the applicable critique and a response to the critique, as applicable.

Note that applicants must not discuss their application with any member of the CCS Peer Review Committee, or the Co-Chairs of the Research Award Committee. Any queries must be directed to the CCS.

GENERAL CONDITIONS OF CCS RESEARCH FUNDING

The following conditions and regulations apply to all individuals receiving research funds administrated by the Canadian Cardiovascular Society (CCS).

1. Terms of awards and conditions of approval

Awards are made only upon the approval of the CCS. All awardees and the Host Institution must formally accept an award and all awards are always conditional upon and subject to availability of funds to the Canadian Cardiovascular Society. In addition, the Canadian Cardiovascular Society reserves the right to terminate or change any award at any time.

2. Award maximum

In accepting a research award, the recipient acknowledges and agrees that the award constitutes the maximum payable.

3. Title of equipment

Title to all equipment purchased is vested in the Host Institution and it is the responsibility of that institution and the applicant to ensure there is adequate and appropriate insurance coverage.

4. Change of Host Institution

Awards are made for research at host institutions specified in an application. If an awardee leaves this institution, the award normally terminates. The transfer of the full responsibility of the award to another eligible Principal Investigator may be considered. However, if the awardee is transferring to another eligible host institution, application may be made to have the unspent portion allocated for use at that institution. Such application requires that a new agreement with the Canadian Cardiovascular Society must be obtained and signed. Please contact the Canadian Cardiovascular Society for instructions.

5. Change in status of awardees

Should an awardee be unable to continue the research program or course of study for which he/she has received support, the awardee and/or the Host Institution shall immediately notify the Canadian Cardiovascular Society so that appropriate action can be taken. All awards are conditional upon the continual involvement of named (Co-)Principal Investigators and/or co-applicants. The named Principal Investigator(s) is required to notify the Canadian Cardiovascular Society if any role changes significantly.

6. Funding outside of Canada

Canadian Cardiovascular Society funds may only be used to support research conducted in Canada. In unique circumstances and only where scientific methodologies demand, funds may be considered for use outside of Canada when it is necessary to complete the proposed work (e.g. limited/rare populations where sufficient accrual is not possible in Canada). All such requests will be reviewed on an individual basis.

7. Non-employee status

Individuals who derive financial research support from the resources of the Canadian Cardiovascular Society, whether awardee, research personnel, trainee, or assistant in any unit, are not considered as employees of the Canadian Cardiovascular Society.

8. Sabbatical leave

Prior permission from the CCS is required if an awardee wishes to retain an award during a period of sabbatical leave. The awardee must make a written request specifying the dates and location of the leave, a short summary of the research to be conducted and the arrangements made for maintaining and supervising the research operation overall and the project specifically. Written verification must also be provided that approval has been given from his/her Host Institution and from the venue where the sabbatical study will be carried out. Permission must be requested as soon as possible and prior to the beginning of the sabbatical leave.

9. Maternity/parental leave

Funding is not provided to extend an award due to maternity/parental/other leave, however extension of the date for project completion may be offered to a Principal Investigator on an award if approved in advance of the start of the leave.

10. Acknowledgement of funding

Recipients of funding are expected to acknowledge the support of the Canadian Cardiovascular Society and the Society's research funding partners in all scientific communications and media releases related to the award.

To acknowledge funding, researchers should state "This research is funded by a partnership between the Canadian Cardiovascular Society (CCS), the Heart & Stroke / Richard Lewar Centre of Excellence in Cardiovascular Research (HSRLCE), and the BI-Lilly Alliance."

11. The Canadian Cardiovascular Congress

The Society and its research funding partners wish to recognize outstanding Canadian researchers through providing research funding and by profiling awardees at the annual Canadian Cardiovascular Congress ("Congress") and the CCS Awards Ceremony, hosted at Congress. While the 2020 Canadian Cardiovascular Congress will be delivered virtually, the two (2) finalists will be provided a complimentary registration to attend the 2020 Congress to present their research project and answer questions from the Evaluation Committee. Following the two (2) presentations, each of the two (2) finalists will be awarded a Cardiometabolic Research Award. Awardees may be requested to present their research during a designated Congress workshop or concurrent session in any/all years.

12. Financial audit

The Canadian Cardiovascular Society reserves the right to audit the Awards statement of accounts.

13. Integrity in research and scholarships

The Canadian Cardiovascular Society has a formal policy on Integrity and Research Scholarship for the guidance and information of both applicants and Host Institutions.

It is a requirement that the appropriate senior representatives of the Host Institution and all investigators understand and adhere to the statements in this policy.

This policy can be found below.

14. Inventions and patents

It is a condition of payment of any award for research that the Host Institution maintains a policy on inventions and patents to which the recipient is subject. As well, such policy must not require the Canadian Cardiovascular Society to assume any responsibility for any costs, expenses or liabilities relating to inventions or patents. It is intended that provisions be made for proper recognition of the individual's genius and industry, which have contributed to the research effort.

15. Disclosure of commercial interests

It is a condition of application that Principal Investigators, Co-Principal Investigators and Co-applicants disclose fully any financial interest they have in any Company, Corporation or other commercial venture whose business activities are related to the subject matter of a Canadian Cardiovascular Society award application.

Such financial interests include, but are not limited to: owning a substantial number of shares of the Company (e.g., 5% or greater), sitting on the Board or other Committees of the Company, having an appointment (full- or part-time) as an Officer or Staff member of the Company, acting as a Consultant or Advisor for the Company, having any contract for services with the Company, receiving remuneration of any kind from the Company, etc.

Financial administration of CCS research awards

1. Canadian Cardiovascular Society/Host Institution

Awards are made with the consent and knowledge of the Finance and/or Research administrative heads of the institution at which they are to be held. Institutions must abide by the policies contained in the Agreement. Funds will not be released to successful applicants without these approvals.

2. Notification of awards

Successful applicants will receive an official “Notification of Award” that sets forth the duration of the award and the amount approved. The financial office and/or the research office of the host institution will be informed of the details of the award at the same time. A revised Notification of Award will only be sent if there is a change in the amount or terms of the grant.

3. Start date

The start dates for awards are varied. The start date will be noted clearly on the Notification of Award.

4. Authorization of expenditures

An individual account must be maintained for each award. All expenditures must be authorized by the awardee or by his/her authorized designate.

5. Administration and payment of funds

Funds for all awards are administered through the financial or research officer of the host institution. The Agreement between the CCS and the host institution shall outline the installment schedule.

6. Disbursement of funds by the Host Institution

Payment of accounts for the purposes specified in the award is made by the financial officer of the Host Institution, upon authorization from the awardee. The total disbursements must not exceed the award and any expenditures in excess of the award will not be assumed by the Canadian Cardiovascular Society nor reimbursed by it to the host institution. Expenditures are to be made only for the purposes set forth in the terms of the award and any alternative use of funds requires prior approval of the Canadian Cardiovascular Society.

7. End of award/early termination of an award

Expenditures incurred after the termination date will not be accepted. The Host Institution is expected to return any unexpended balances as at the termination date. In the case of awards that are discontinued for any reason (e.g., early termination), the Canadian Cardiovascular Society must be notified immediately.

8. Use of unspent funds at the termination date and extending the termination date (no-cost extension)

Surpluses of up to \$10,000 may be retained for up to 12 months beyond an award termination date for expenditures on that grant. A statement of account must be provided for any funds expended beyond the normal termination date. However, when the expected surplus exceeds \$10,000, more than 12 months are required to complete the work, or substantive work remains, awardees must apply for a no-cost extension. This will allow the remaining funds to be expended after the end date but will not provide any additional funds. Consideration for a no-cost extension will be given where work remains to be completed combined with justification or where an awardee has taken an extended leave of absence during the term of the award.

All requests shall be submitted to the CEO of the Canadian Cardiovascular Society at least 30 days in advance of the expected date of postponement of research. The CCS will supply a template for completion that includes the following information:

- an explanation for the delay,
- a brief description of the work remaining with an estimate of the time required to complete the work, and
- a projected figure for the unexpended balance at the termination date.

CCS research policies

Integrity in research and scholarship

The Canadian Cardiovascular Society (CCS) funds cardiovascular research awards in academic institutions in Canada. The policies outlined below are developed to be consistent with those of the Canadian Institutes for Health Research (CIHR).

The CCS is committed to the highest standards of integrity in research and scholarships. The CCS defines integrity as encouraging the highest standards of research and scholarship. The major concerns regarding scientific misconduct are considered fabrication or falsification of data and plagiarism, but the CCS regards any action that is inconsistent with integrity as misconduct.

Integrity includes the principles listed below, which should be interpreted with the understanding that research can involve honest error, conflicting data or valid differences in experimental design or in interpretation or judgment of information:

- Recognize substantive contributions of collaborators and students
- Use unpublished work of other researchers and scholars only with permission and with due acknowledgement;
- Use archival material in accordance with the rules of the archival source
- Do not use new information, concepts or data that were originally obtained through access to confidential manuscripts or applications for funds for research or training that may have been seen as a result of processes such as peer review, unless the author has given permission
- Use scholarly and scientific rigor and integrity in obtaining, recording and analyzing data and in reporting and publishing results.
- Ensure that authorship of published work includes all who have materially contributed to and share responsibility for the contents of the publication, and only those people
- Reveal to sponsors, universities, journals or funding agencies any material, financial or other conflict of interest that might influence their decisions on whether the individual should be asked to review manuscripts or applications, test products or be permitted to undertake work sponsored from outside sources

These components of scientific integrity overlap with other areas such as financial integrity in the use of research funds and the ethical issues involving the use of human or animal subjects in research. This document is concerned only with scientific integrity and does not replace any other statements from the CCS on other areas with which this issue may overlap.

The CCS requires the institutions that administer funds to accept responsibility for:

- monitoring and investigating possible instances of misconduct in research or scholarship,
- imposing appropriate sanctions in accordance with their own policies, and
- informing the CCS of conclusions reached and actions taken.

The specific expectations for procedures and responsibilities of researcher, research institutions and the CCS regarding integrity in research and scholarship are outlined below.

Procedures for promoting integrity and for preventing and addressing misconduct in research

1. The responsibilities of researchers and scholars

The primary responsibility for high standards of conduct in research and scholarship rests with the individuals carrying out these activities.

2. The responsibilities of research institutions

The CCS holds the institutions that administer its funds responsible for investigating allegations of misconduct involving researchers, trainees or research staff receiving funds. Promotion by the institutions of understanding of the issues involved in integrity in research and scholarship offers a valuable means of preventing cases of misconduct.

(a) Promotion of integrity in research and scholarship

Integrity in research and scholarship is best encouraged by developing awareness among all involved of the need for the highest standards of integrity, accountability and responsibility. Research institutions should provide an environment conducive to this goal and actively promote programs for education of researchers, scholars, trainees and staff.

The CCS encourages institutions that administer its funds to establish a mechanism to educate all who are involved in the collection, recording, citing, reporting and retention of scientific or scholarly material about their expectations for the highest standard of integrity.

(b) Investigating allegations of misconduct in research and scholarship

Allegations may arise from anonymous or identified sources within or outside the research institution. The allegations may be well-founded, honestly erroneous or mischievous. Whatever their source, motivation or accuracy, such allegations have potential to cause great harm to the persons accused, to the accuser, to the institution and to research and scholarship in general.

The CCS requires the research institutions that administer its funds to establish appropriate impartial and accountable procedures to:

- receive written allegations of misconduct in research and scholarship
- conduct and document appropriate investigations within an established and reasonable time period

- protect the privacy of the person(s) accused and of the person(s) making the allegations throughout the investigation through mechanisms consistent with due process and natural justice
- decide whether there has been misconduct
- determine the actions to be taken as a result of conclusions reached, including:
 - the sanctions to be imposed;
 - any actions to be taken to protect or restore the reputation(s) or credibility of person(s) wrongly accused of or implicated in misconduct in research, including the procedures to ensure that, if the charges have been dismissed, copies of documents and related files provided to third parties have been destroyed;
 - any actions to be taken to protect the person(s) deemed to have made a responsible accusation; and
 - informing the accused person(s) of the results of the investigation and of the actions that have been decided upon
- prepare a report on the above

3. Responsibilities of the CCS

The CCS is responsible for ensuring that research funds are used with a high degree of integrity, accountability and responsibility.

Each institution receiving research funds must have established procedures for dealing with allegations of misconduct that are consistent with the above expectations. The CCS has the right, but not the obligation, to review the policies and procedures of the institution with regard to integrity in research and scholarship.

Allegations of misconduct made to the CCS or to research institutions might involve past or present awardees, trainees or staff supported by CCS funds. Such allegations might also arise from the peer review processes of the CCS. Under provision of the Privacy Act, the CCS may only transmit allegations of misconduct in research with the permission of their author.

The CCS will not transmit oral allegations to the institution or otherwise act upon them, since these cannot be assessed or transferred accurately. In the event that the CCS, or its peer review committee, identifies evidence of misconduct as part of the peer review process, the CCS will initiate an inquiry to gather and expeditiously review factual information to determine if an investigation of the allegation is warranted. An inquiry is not a formal hearing; it is designed to separate allegations deserving of further investigation from frivolous, unjustified, or clearly mistaken allegations. Based upon findings from the inquiry, the CCS may request that the institutions(s) involved to carry out an investigation and inform the CCS of the outcome.

The CCS requires that institutions that have carried out investigations of alleged misconduct in research or scholarship involving projects funded by the CCS inform the CCS of the allegations made and the progress of investigations and provide the CCS with the report prepared.

The CCS will consider the report and may request clarification or additional information. In cases in which misconduct is concluded to have occurred, the CCS will also consider imposing its own sanction(s) in relation to awards made to the individual(s) or the institutions(s) implicated, in accordance with its policies. If actions are being considered, the CCS will provide an opportunity for the persons or institutions involved to present their position. These actions may include, but are not limited to:

- refusal to consider future applications for a defined time period;
- withdrawal of remaining installments of the award(s); and/or
- seeking a refund of all or part of the funds already paid as an award(s) for the research.

The CCS will inform the person(s) and the institution(s) involved of any impending action.

The CCS must retain the right at any time to bring a case to the attention of the appropriate legal authorities.

Indirect costs policy

CCS Research Award funds may not be used for the indirect costs associated with the conduct of research. Indirect costs refer to the institution's operational costs including, but not limited to, heating, lighting, computer networking/IT services, ethics review, facilities for animals used in research, management of intellectual property, and/or environmental assessment and safety compliance. This policy is reviewed annually, and past practice does not necessarily dictate future CCS policy.

Industry-related Research

As is the case with all CCS supported research, a Host Institution must take full responsibility for the conduct of the research being funded, according to all existing CCS policies. These include, but are not limited to, maintaining integrity in research and scholarship, full ethical review and surveillance, compliance with accepted biohazard and animal care regulations and full financial accounting and control.

For-profit organizations are not eligible to be Host Institutions for award applications to the CCS. The Principal Investigator on any Research Award administered by the CCS must be based in, or be formally affiliated with (but not necessarily receive salary support from), a Canadian Host Institution which will take responsibility described above, for the duration of the funding period.

Research may be performed at the collected premises of the Host Institution, but also at any other locations as needed or as appropriate for the efficient conduct of the research. This includes the facilities of a for-profit organization, subject to the provisions above.

The CCS reaffirms that it does not wish to own or have a vested interest in Intellectual Property (IP) which may emanate from any of the research projects it is supporting. Neither does the CCS wish to dictate terms to Host Institutions as to how they handle such IP. The CCS does, however, require the

Host Institution to have a clear policy regarding IP, and have such policy in force at the time any award is made. Note, however, that it is the intent of the CCS that the primary objective of funded research must not be the promotion of commercial interests.

Full disclosure of any existing or intended funding of the applicant's research and any agreements that pertain to that research, by any other source, whether not-for-profit or for-profit, must be made by the applicants to the CCS to support any application for research. The details and mechanism of such disclosure must be sufficient to allow the CCS to make a determination of the amount of overlap, if any, with projects being submitted for support. Moreover, during the period of a CCS award, any changes in funding status that pertain to overlap are to be disclosed to the CCS. The CCS is prepared to have such disclosure made to a limited group of its senior officials, under an agreement of confidentiality, if necessary, to protect the rights of third parties.

It is the policy of the CCS that investigators should be free to disseminate advances arising from its funded research to other researchers, practitioners, policy makers and the public without undue delay.